



Attorney Docket No. 9151-6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Wheeler et al.

Serial No.: 09/823,069

Filed: March 30, 2001

For: METHODS AND COMPOSITIONS UTILIZING AN  
ALTERNATIVE SPLICE VARIANT OF THE SIGMA-1 RECEPTOR

Date: July 18, 2001

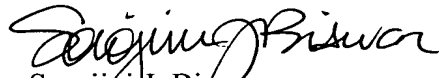
Commissioner for Patents  
Washington, DC 20231

### INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. The Commissioner is hereby authorized to charge any additional fee, or credit any refund, to our Deposit Account No. 50-0220.

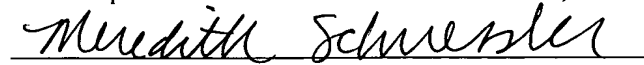
Respectfully submitted,

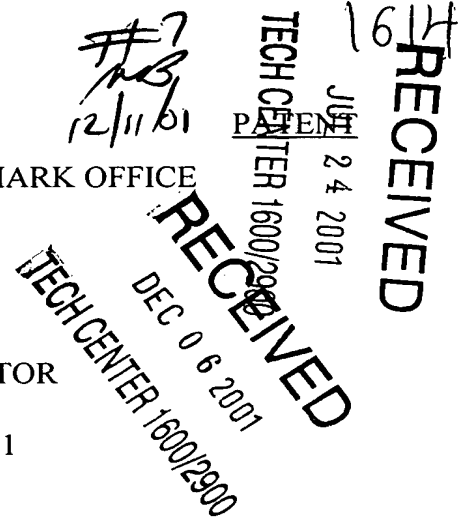
  
Sorojini J. Biswas  
Registration No. 39,111

USPTO Customer No. 20792  
Myers Bigel Sibley & Sajovec  
PO Box 37428  
Raleigh NC 27627  
Tel (919) 854-1400  
Fax (919) 854-1401

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, on July 18, 2001.

  
Meredith Schuessler  
Date of Signature: July 18, 2001



<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 9151-6		Serial No. 09/823,069	
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				RECEIVED DEC 06 2001 TECH CENTER 1600/2900		JUL 24 2001 TECH CENTER 1600/2900	
Applicants: <b>Wheeler et al.</b>				Filing Date: <b>March 30, 2001</b>		Group: 1614	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	4,709,094	11/24/87	Weber et al.	564	238	
	2.	5,231,099	07/27/93	Cook	514	279	
	3.	5,604,228	02/18/97	Keana et al.	514	255	
	4.	5,753,516	05/19/98	Heagy et al.	436	501	
	5.	5,863,766	01/26/99	Hillman et al.	435	69.1	
	6.	5,866,323	02/02/99	Markowitz et al.	435	6	
	7.	5,911,970	06/15/99	John et al.	424	1.85	
	8.	5,916,755	06/29/99	Kraus et al.	435	7.1	
	9.	5,919,934	0706/99	John et al.	546	247	
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
	10.	WO 97/34892	09/25/97	PCT	C07D	451/02	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	11.	I. Al-Nabulsi <i>et al.</i> Effect of ploidy, recruitment, environmental factors, and tamoxifen treatment on the expression of sigma-2 receptors in proliferating and quiescent tumour cells. <i>British Journal of Cancer</i> <b>81</b> , 925-933 (1999).					
	12.	M.E. Ganapathy <i>et al.</i> Molecular and ligand-binding characterization of the sigma-receptor in the Jurkat human T lymphocyte cell line. <i>J Pharmacol Exp Ther</i> <b>289</b> , 251-260 (1999).					
	13.	R.H. Mach <i>et al.</i> Sigma 2 receptors as potential biomarkers of proliferation in breast cancer. <i>Cancer Res</i> <b>57</b> , 156-161 (1997).					
	14.	K.T. Wheeler <i>et al.</i> Sigma-2 receptors as a biomarker of proliferation in solid tumours. <i>Br J Cancer</i> <b>82</b> , 1223-1232 (2000).					

 EXAMINER  
EXAMINER

DATE CONSIDERED

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.